



Energy based modelling and control of physical systems

Lecture 4

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Summer School on Estimation and Control, Department of Electronic Engineering, Universidad Técnica Federico Santa María, Valparaiso, Chile

Héctor Ramírez Estay

AS2M, FEMTO-ST UMR CNRS 6174,
Université de Franche-Comté,
École Nationale Supérieure de Mécanique et des Microtechniques,
26 chemin de l'épitaphe, F-25030 Besançon, France.

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Relevant references:

1. Ortega, R.; Van Der Schaft, A.J.; Mareels, I.; Maschke, B., "Putting energy back in control," Control Systems, IEEE , vol.21, no.2, pp.18,33, Apr 2001.
2. Ortega. R, van der Schaft, A.J., Maschke, B. and Escobar, G., Interconnection and damping assignment passivity-based control of port-controlled Hamiltonian systems, Automatica, Volume 38, Issue 4, April 2002, Pages 585-596.
3. Brogliato, B., Lozano, R., Maschke, B., and Egeland, O. (2007). Dissipative Systems Analysis and Control. Communications and Control Engineering Series. Springer Verlag, London, 2nd edition edition.
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1. Control by interconnection

2. Interconnection and Damping Assignment - Passivity Based Control

Port-Hamiltonian control systems



Let us recall the state space model of a port-Hamiltonian control system

$$\begin{aligned}\dot{\mathbf{x}} &= (J(\mathbf{x}) - R(\mathbf{x})) \frac{\partial H}{\partial \mathbf{x}}(\mathbf{x}) + g(\mathbf{x})\mathbf{u}, \\ \mathbf{y} &= g^\top(\mathbf{x}) \frac{\partial H}{\partial \mathbf{x}}(\mathbf{x}),\end{aligned}$$

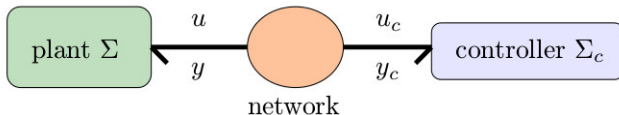
where where $\mathbf{x} \in \mathbb{R}^n$ is the state vector, $\mathbf{u} \in \mathbb{R}^m$, $m < n$, is the control action, $H: \mathbb{R}^n \rightarrow \mathbb{R}$ is the total stored energy, $J(\mathbf{x}) = -J(\mathbf{x})^\top$ is the $n \times n$ natural interconnection matrix, $R(\mathbf{x}) = R(\mathbf{x})^\top \geq 0$ is the $n \times n$ damping matrix, $g(\mathbf{x})$, is the $n \times m$ input map and $\mathbf{u}, \mathbf{y} \in \mathbb{R}^m$, are conjugated variables whose product has units of power.

$$\begin{aligned}\dot{H} &= \mathbf{u}^\top \mathbf{y} - \frac{\partial H}{\partial \mathbf{x}}^\top R \frac{\partial H}{\partial \mathbf{x}}, \\ \dot{H} &\leq \mathbf{u}^\top \mathbf{y},\end{aligned}$$

Control by interconnection



A controlled system may be viewed as a plant system interconnected with a control system exchanging energy



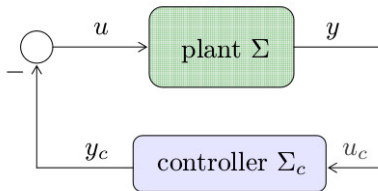
The interconnection is power continuous if

$$u^T(t)y(t) + u_c^T(t)y_c(t) = 0, \quad \forall t$$

Control by interconnection



For instance: a negative feedback



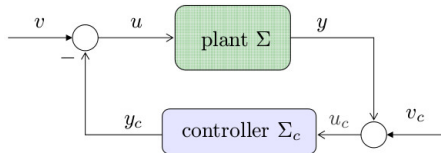
The negative feedback defines the following relation

$$\begin{aligned} u &= -y_c \\ y &= u_c \end{aligned} \Rightarrow u^\top y + u_c^\top y_c = -y_c^\top y_c + y^\top y_c = 0$$

Control by interconnection



Let us now consider the feedback



Now $u = v - y_c$ and $u_c = y + v_c$. Let the plant and controller have state variables x and ξ and energy functions $H(x)$ and $H(\xi)$. If the maps $u \rightarrow y$ and $u_c \rightarrow y_c$ are passive,

then the map $(v, v_c) \rightarrow (y, y_c)$ is passive with energy function $H_d(x, \xi) = H(x) + H(\xi)$.

Control by interconnection



Assume that the plant and the controller are PHS

$$\begin{aligned} \Sigma : \quad & \dot{x} = [J(x) - R(x)] \frac{\partial H}{\partial x}(x) + g(x)u & x \in \mathcal{X} \\ & y = g^\top(x) \frac{\partial H}{\partial x}(x) \\ \\ \Sigma_c : \quad & \dot{\xi} = [J_c(\xi) - R_c(\xi)] \frac{\partial H_c}{\partial \xi}(\xi) + g_c(\xi)u_c & \xi \in \mathcal{X}_c \\ & y_c = g_c^\top(\xi) \frac{\partial H_c}{\partial \xi}(\xi) \end{aligned}$$

Both are passive systems, so a power preserving interconnection, $u = -y_c$, $y = u_c$, yields a passive closed-loop systems.



The closed-loop systems looks

$$\begin{bmatrix} \dot{x} \\ \dot{\xi} \end{bmatrix} = \left(\underbrace{\begin{bmatrix} J(x) & -g(x)g_c^\top(\xi) \\ g_c(\xi)g^\top(x) & J_c(\xi) \end{bmatrix}}_{J_{cl}(x,\xi)} - \underbrace{\begin{bmatrix} R(x) & 0 \\ 0 & R_c(\xi) \end{bmatrix}}_{R_{cl}(x,\xi)} \right) \begin{bmatrix} \frac{\partial H_d}{\partial x}(x) \\ \frac{\partial H_d}{\partial \xi}(\xi) \end{bmatrix}$$
$$\begin{bmatrix} y \\ y_c \end{bmatrix} = \underbrace{\begin{bmatrix} g(x) & 0 \\ 0 & g_c(\xi) \end{bmatrix}}_{g_{cl}} \begin{bmatrix} \frac{\partial H_d}{\partial x}(x) \\ \frac{\partial H_d}{\partial \xi}(\xi) \end{bmatrix}$$

With total energy function

$$H_d(x, \xi) = H(x) + H_c(\xi)$$



So, what now?

We would like to get an **energy function in terms of x only** $H_d = H_d(x)$, so that we can set the minimum at the desired point.

In order to achieve this, we must restrict the dynamics to a submanifold of the (x, ξ) space parametrized by x . This means that we are looking for a submanifold

$$\Omega_C = (x, \xi) : \xi = F(x) - C$$

which is dynamically invariant, i.e.,

$$\left(\frac{\partial F_i}{\partial x} \dot{x} - \dot{\xi}_i \right)_{\xi = F_i(x) - C} = 0$$



Casimir functions

Let us look for invariants that relates each state of the controller with the states of the plant:

$$C_i(x, \xi_i) = F_i(x) - \xi_i$$

In order to relate all the states of the controller with the state of the plant we define $F(x) = [F_1(x), F_2(x), \dots, F_{n_c}(x)]$, and we obtain the following matching condition

$$\underbrace{\begin{bmatrix} \frac{\partial F}{\partial x}^\top(x) & -\mathbb{I} \end{bmatrix} \begin{bmatrix} J(x) - R(x) & -g(x)g_C^\top(\xi) \\ g_C(\xi)g^\top(x) & J_C(\xi) - R_C(\xi) \end{bmatrix}}_{\text{Matching condition}} \begin{bmatrix} \frac{\partial H_d}{\partial x}(x) \\ \frac{\partial H_d}{\partial \xi}(\xi) \end{bmatrix} = 0$$

- Only the term in blue is considered in the matching condition because we want the Casimir functions to be **structural invariants** of the system: not depend on $H_d(x, \xi)$.

Control by interconnection



The condition for existence of Casimir functions for the closed loop system

$$\left[\frac{\partial F}{\partial x}{}^\top(x) \quad -\mathbb{I} \right] \begin{bmatrix} J(x) - R(x) & -g(x)g_c^\top(\xi) \\ g_c(\xi)g^\top(x) & J_c(\xi) - R_c(\xi) \end{bmatrix} = 0$$

may be written out as

Matching equations

$$\frac{\partial F}{\partial x}{}^\top(x) J(x) \frac{\partial F}{\partial x}(x) = J_c(\xi) \quad (1)$$

$$R(x) \frac{\partial F}{\partial x}(x) = 0 \quad (2)$$

$$R_c(\xi) = 0 \quad (3)$$

$$\frac{\partial F}{\partial x}{}^\top(x) J(x) = g_c(\xi) g^\top(x) \quad (4)$$



The closed-loop dynamic then takes the form

$$\dot{x} = [J(x) - R(x)] \frac{\partial H}{\partial x}(x) - g(x)g_C^\top(\xi) \frac{\partial H_c}{\partial \xi}(\xi)$$

Using the second and fourth M.C. we get

$$\dot{x} = [J(x) - R(x)] \left(\frac{\partial H}{\partial x}(x) + \frac{\partial F}{\partial x}(x) \frac{\partial H_c}{\partial \xi}(\xi) \right)$$

Since $\xi = F(x) - C$, we use the chain-rule for differentiation to establish

$$\frac{\partial F}{\partial x}(x) \frac{\partial H_c}{\partial \xi}(\xi) = \frac{\partial H_c}{\partial x}(F(x) - C)$$



Hence we obtain:

$$\dot{x} = [J(x) - R(x)] \left(\frac{\partial H}{\partial x}(x) + \frac{\partial H_c}{\partial x}(F(x) - C) \right)$$

Or equivalently

$$\dot{x} = [J(x) - R(x)] \frac{\partial H_d}{\partial x}(x)$$

With closed-loop energy $H_d(x) = H(x) + H_c(F(x) - C)$.

Control by interconnection



Let us interpret the control in terms of **energy balancing**. Since $R_c = 0$, the energy balance equation of the controller is

$$\frac{dH_c}{dt} = u_c^\top y_c$$

Hence, along any invariant submanifold Ω_C , we have

$$\frac{dH_d}{dt} = \frac{dH}{dt} + \frac{dH_c}{dt} = \frac{dH}{dt} - u^\top y \quad (u_c^\top y_c = -u^\top y)$$

and integrating (up to some constant) we obtain

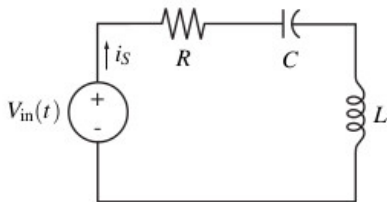
$$H_d(t) = H(t) - \underbrace{\int_0^t u^\top(\tau)y(\tau)d\tau}_{H_c}$$

We obtain the general M.EI: $H_c(t) = -\int_0^t u^\top(\tau)y(\tau)d\tau$.

Example: RLC circuit



Let us consider a simple linear **RLC circuit**:



Constitutive relations

$$u_s = V_{in}$$

$$u_r = R I_r$$

$$\phi = L I_L$$

$$Q = C u_C$$

Dynamic relations

$$u_L = \frac{d\phi}{dt}, \quad \text{or in integral form}$$

$$I_C = \frac{dQ}{dt}, \quad \text{or in integral form}$$

$$\phi(t) = \phi(t_0) + \int_0^t u_L(\tau) d\tau$$

$$Q(t) = Q(t_0) + \int_0^t I_C(\tau) d\tau$$

Example: the RLC circuit



Let us consider a RLC circuit, with dissipation and input port. The energy is

$$H(x(t)) = \frac{1}{2} \frac{Q^2}{C} + \frac{1}{2} \frac{\phi^2}{L}$$

The interconnection and dissipation matrix and input vector field are

$$J = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad R = \begin{bmatrix} 0 & 0 \\ 0 & R \end{bmatrix}, \quad gu = \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

and the dynamic is

$$\dot{x} = (J - R) \frac{\partial H}{\partial x} + gu = (J - R) \begin{bmatrix} \frac{Q}{C} \\ \frac{\phi}{L} \end{bmatrix} + gu = \begin{bmatrix} -\frac{Q}{C} - \frac{\phi}{L} R \\ \frac{\phi}{L} + V_{in} \end{bmatrix}$$

with state variables $x = [Q, \phi]$, output $y = \frac{\phi}{L} = \frac{x_2}{L}$ and input $u = V_{in}$

- If $V_{in} = 0$, the natural equilibrium is $x = (0, 0)$. If on other hand $V_{in} = V^*$, the forced equilibrium point is $x = (x_1^*, 0)$, with $x_1^* = CV^*$.

Example: RLC circuit

Let us synthesis the controller using the M.C.s.

- From **physical considerations** we know that we only need to shape the x_1 coordinate: F is only one scalar function.
- From M.E.2 we obtain that $\frac{\partial F}{\partial x_2} = 0$.
- Then, from M.E.1. we obtain that $J_c = 0$, and from M.E.3 that $R_c = 0$.
- Finally from M.E.4 we have that $\frac{\partial F}{\partial x_1} = g_c(\xi)$ and that $\xi \in \mathbb{R}$.

We would like to have

$$H_c(x_1) = \frac{1}{2C_a} x_1^2 - \left(\frac{1}{C_a} + \frac{1}{C} \right) x_1 x_1^*, \quad \text{such that} \quad H_d(x) = \frac{1}{2} \frac{(x_1 - x_1^*)^2}{(C + C_a)} + \frac{1}{2} \frac{x_2^2}{L}$$

This is achieved if we select $F(x_1) = x_1$ and $C = 0$ on the invariant submanifold such that $\xi = x_1$. The control system is then given by (using condition $\frac{\partial F}{\partial x_1} = g_c(\xi)$)

$$\begin{aligned} \dot{\xi} &= u_c \\ y_c &= \frac{\partial H_c}{\partial \xi} \end{aligned}$$

Control by interconnection



Remarks

- The port-Hamiltonian structure **provide important information** for finding the solutions of the control system,
- The control has **physical interpretation** in terms of interconnection and energy balancing
- The Casimir method can be used to analyse **new stability profiles** of interconnected systems



1. Control by interconnection

2. Interconnection and Damping Assignment - Passivity Based Control



IDA-PBC objective

Find a static state-feedback control $u(x) = \beta(x)$ such that the closed-loop dynamics is a PH system with dissipation of the form

$$\dot{x} = (J_d - R_d) \frac{\partial H_d}{\partial x},$$

$H_d(x)$, has a strict local minimum at x^* ,

$J_d(x, u) = -J_d(x, u)^T$, *desired* interconnection matrix,

$R_d(x, u) = R_d(x, u)^T \geq 0$, *desired* dissipation matrix,



Suppose that the following PDE is verified

$$g^\perp (J - R) \frac{\partial H}{\partial x} = g^\perp (J_d - R_d) \frac{\partial H_d}{\partial x}$$

where $g^\perp(x)g(x) = 0$ y $H_d(x)$ is such that

$$\frac{\partial H_d}{\partial x}(x^*) = 0, \quad \frac{\partial^2 H_d}{\partial x^2}(x^*) > 0,$$

then there exists a control $u = \beta(x)$

Defined as $\beta = (g^\top g)^{-1} g^\top [(J_d - R_d) \frac{\partial H_d}{\partial x} - (J - R) \frac{\partial H}{\partial x}]$ such that the closed-loop system takes the PH form

$$\dot{x} = (J_d - R_d) \frac{\partial H_d}{\partial x},$$

And closed-loop energy

$$\dot{H}_d = -\frac{\partial H_d^\top}{\partial x} R_d \frac{\partial H_d}{\partial x} < 0, \quad \forall x \neq x^* \quad \text{and} \quad \dot{H}_d(x^*) = 0.$$



Degrees of freedom in the design

- J_d and R_d are free-up to the constraint of skew-symmetry and positive semidefiniteness, respectively.
- H_d may be totally, or partially fixed, provided we can ensure $\frac{\partial H_d}{\partial x}(x^*) = 0$, $\frac{\partial^2 H_d}{\partial x^2}(x^*) \geq 0$ and probably a properness condition.
- there is an additional degree of freedom in $g^\perp(x)$ which is not uniquely defined by $g(x)$.

Attention: Requires the solution of a quasilinear PDE

the method of characteristics...

Example:



Part of the homework...

Final remarks



- Energy-based methods permit to study systems from the universal perspective of energy transfer,
- Physically coherent models and controllers,
- Defines a class of **non-linear systems**
- The method are applicable to different physical domains and state representations (infinite dimensions, discrete, etc..)

Final remarks



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Muchas gracias!